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JC769 U.S. PTO

Practitioner's Docket No. 782_197

PATENT

JC950 U.S. PTO
09/994370
11/27/01

NEW APPLICATION TRANSMITTAL

Box Patent Application
Assistant Commissioner for Patents
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, U.S. Patent & Trademark Office, P.O. Box 2327, Arlington, VA 22202** on November 27, 2001 under "EXPRESS MAIL" mailing label number **EL650149261US**.

Elizabeth A. VanAntwerp
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Transmitted herewith for filing is the patent application of:

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Title: A SEMICONDUCTOR LIGHT-EMITTING ELEMENT

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 14 Page(s) of Specification
3 Page(s) of Claims
1 Page(s) of Abstract
2 Sheets of Formal Drawings (Figs. 1-3)

B. Other Papers Enclosed

- ☒ A Patent Application Bibliographic Data
☐ A Preliminary Amendment
☐ An Information Disclosure Statement
☐ PTO Form-1449

2. Additional Papers to Follow Under Separate Cover

- ☒ A combined Declaration and Power of Attorney
☒ A Claim for Priority and certified copies of:

Japanese Application 2000-364,268 filed November 30, 2000 and
 Japanese Application 2001-321,052 filed October 18, 2001

- ☒ An Assignment Transmittal and Assignment of the invention to:

NGK Insulators, Ltd.
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3. The correspondence address for this application is: Customer No.: 025191

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4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

- ☐ Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.
- ☐ Applicants hereby request that the attached application **not** be published under 35 U.S.C. 122(b).

5. Small Entity Status

- ☐ Applicant(s) claim(s) small entity status. See 37 CFR § 1.27.

6. The filing fee has been calculated as shown below:

A. Filing Fee		
<input checked="" type="checkbox"/> Original Patent Application		\$ <u>740.00</u>
<small>(37 C.F.R. 1.16(a) --\$740.00, Small Entity--\$370.00)</small>		
B. Fees for Claims (17 Claims; 1 Indep.)		
<input type="checkbox"/> each independent claim in excess of 3		\$ <u>.00</u>
<small>(37 C.F.R. 1.16(b) --\$84.00; small entity--\$42.00)</small>		
<input type="checkbox"/> each claim in excess of 20		\$ <u>.00</u>
<small>(37 C.F.R. 1.16(c) --\$18.00, small entity--\$9.00)</small>		
<input type="checkbox"/> multiple dependent claim(s)		\$ <u>.00</u>
<small>(37 C.F.R. 1.16(d) --\$280.00; small entity--\$140.00)</small>		
C. Surcharge fees		
<input checked="" type="checkbox"/> late payment of filing fee		\$ <u>130.00</u>
<small>(37 C.F.R. 1.16(e) --\$130.00, small entity--\$65.00)</small>		
and/or		
<input checked="" type="checkbox"/> late filing of original declaration or oath		\$ <u>.00</u>
<small>(37 C.F.R. 1.16(e) --\$130.00; small entity--\$65.00)</small>		
E. <input checked="" type="checkbox"/> Assignment Fee		\$ <u>40.00</u>

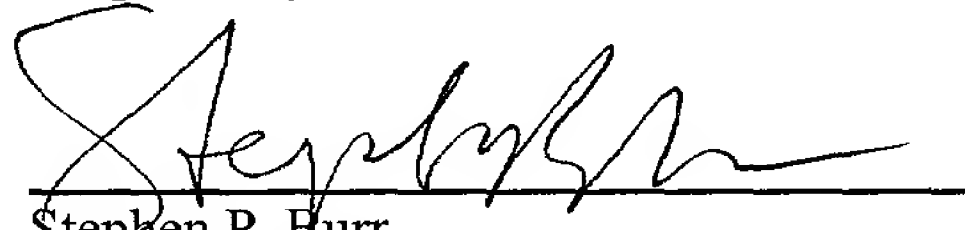
Total Fees Due \$ 910.00

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**7. Payment of Fees and Authorization to Charge Additional Fees
or Credit Overpayment**

- ☐ A check in the amount of _____ is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- ☐ Any additional filing fees required under 37 CFR 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



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November 27, 2001

Date

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